

93-07-01

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JC961 U.S. PTO

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PTO/SB/05 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 9611-001
First Inventor Jerry tenBrink
Title System and Method for Trading Commodities
Express Mail Label No. EL640032935US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 11]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets]
5. ☐ Oath or Declaration [Total Pages]
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement of Assignment (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☐ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: _____

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. _____

Prior application information

Examiner _____

Group Art Unit _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or attach bar code label here)

or ☒ Correspondence address below

Name R. Bennett Ford
Address Roy, Kiesel & Tucker
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City Baton Rouge State Louisiana Zip Code 70809
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Name (Print/Type) R. Bennett Ford Registration No. (Attorney/Agent) 39,193
Signature R. Bennett Ford Date 3-6-01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

JC996 U.S. PTO
09/800285

03/06/01

Patent fees are subject to annual revision

Attorney Docket No.

Jerry ten Brink

9611.001

TOTAL AMOUNT OF PAYMENT

(\$)355.00

FEE CALCULATION (continued)

- Deposit
Account
Number
- Deposit
Account
Name

☐ Applicant claims small entity status
See 37 CFR 1.27

- ☒ Check ☐ Credit card ☐ Money Order ☐ Other

3. ADDITIONAL FEES

Fee Paid

Other fee (specify)

SUBTOTAL (3)	(\$)
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Free Paid

101	710	201	355	Utility filing fee
106	320	206	160	Design filing fee
107	490	207	245	Plant filing fee
108	710	208	355	Reissue filing fee
114	150	214	75	Provisional filing fee

SUBTOTAL (1) (\$) 356

	Extra Claims	Fee from below	Fee Paid
Total Claims 3	-20** = 	X 	=
Independent Claims 1	- 3** = 	X 	=
Multiple Dependent		X 	=

103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)	(\$)	0
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***or number previously paid, if greater; For Reissues, see above*

*Reduced by Basic Filing Fee Paid

Complete (if applicable)

R. Bennett Ford

Registration No.
(Attorney/Agent)

39, 193

Telephone

225-927-9908

Signature

R. B. Brown

24

2-10-01

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IN THE UNITED STATES OF AMERICA
PATENT AND TRADEMARK OFFICE

JC996 U.S. PTO
09/800285
03/06/01

APPLICANT: Joshua ten Brink and Jerry ten Brink
TITLE: System and Method for Trading Commodities
ATTORNEY DOCKET NO.: 9611.001

EXPRESS MAIL CERTIFICATE

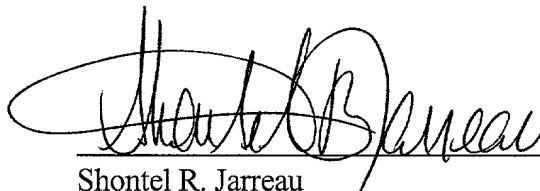
"Express Mail" Label Number EL640032935US
Date of Deposit: March 6, 2001

I hereby certify that the following attached papers or fee:

Regular Utility Application Comprising:

- 11 pages of Specification;
- 1 page of Claims;
- 1 page of Abstract;
- Check in the amount of \$355.00;
- Utility Patent Application Transmittal
- 2 Patent Application Data Sheets
- Fee Transmittal;
- Certificate of Express Mail; and
- Return postcard;

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Box PATENT APPLICATIONS, Assistant Commissioner for Patents, Washington, D.C. 20231.


Shontel R. Jarreau